

## **CEPF Monitoring Approach and Performance Targets**

CEPF programmatic monitoring is designed to track and assess performance and impacts at three levels – the overall program, ecosystem portfolios, and individual projects. These three levels are carefully integrated to build clear and tangible links among the program’s overall purpose, the strategic directions and investment priorities identified for each ecosystem where the program invests, and the many projects that CEPF supports.

The principal goals are to inform decisions and promote adaptive management throughout CEPF and to feed into the identification and dissemination of best practices, lessons learned, and results within and across critical ecosystems and at the global level.

### **Program-wide**

The 5-year CEPF Global Results Framework (attached) provides the conceptual underpinning for the program’s monitoring approach and its strategic allocation of grant funding. The framework captures the highest level conservation targets set for the program and also incorporates related socioeconomic, policy, and civil society targets.

The CEPF Secretariat is responsible for monitoring performance of the overall program and for ensuring that all activities are carried out in compliance with guidance from the CEPF Donor Council and in accordance with the CEPF Operational Manual. Regional Implementation Teams support program-level monitoring by supervising the collection and analysis of performance data at the ecosystem and project levels.

The CEPF Secretariat will compile performance data at the program level and conduct analysis to identify trends, key accomplishments, performance strengths and weaknesses, and lessons learned.

### **Ecosystem-level**

At the hotspot/ecosystem level, overall monitoring priorities will include:

- (i) ensuring that conservation targets and indicators are defined in all regions that receive CEPF funding;
- (ii) improved outcomes monitoring at the ecosystem level in all critical ecosystems receiving funding; and
- (iii) sharing the results widely to demonstrate biodiversity impact and enable adaptive management.

Ecosystem profiles developed for each region approved for investment will set the baseline, targets, and indicators for monitoring at this level (OM 4.1). The performance targets are detailed in a logical framework in each profile and monitored regularly. Selected targets from the Global Results Framework will also be integrated into these logical frameworks, creating an integrated link between the strategy developed in a given region and that of the program-wide strategy.

Regional Implementation Teams will have primary responsibility for assessing performance against the targets defined for each ecosystem. CEPF will provide guidance to the Regional Implementation Teams on a standard portfolio performance monitoring plan that includes schedules for data collection, analysis, review, and dissemination for the life of the funding cycle.

The monitoring approach at the ecosystem level will also include:

- ***Annual portfolio overviews*** – These reports will systematically analyze portfolio performance toward achievement of expected outcomes. The overviews will review strategic and operational issues, including details of interim progress toward the ecosystem-level targets, lessons learned, and recommendations for changes to the defined targets or overall strategy, where appropriate.
- ***Participatory performance assessments*** – Regional Implementation Teams will organize participatory assessments at the midpoint and near the end of the grant-making period in each ecosystem. These assessments will engage grantees and diverse partners in identifying and reviewing performance findings as well as in identifying lessons learned. In addition, monitoring at the ecosystem level will draw on data from the Biodiversity Early Warning System of Conservation International's Center for Applied Biodiversity Science, and on the global monitoring programs of other conservation organizations to provide additional information and inform decisions on whether to adapt implementation.

### **Grant-level Monitoring**

Each grant applicant identifies how their project will fit into the relevant CEPF ecosystem strategy and targets to create a synergy between the program, ecosystem, and individual project levels of implementation. Grant-level performance monitoring is an integral part of CEPF and is further detailed in sections 3.4 and 4 of the Operational Manual.

### **Evaluation**

Evaluation, based on the collection of standard data from all projects and portfolios, will be integrated into all levels of the CEPF program. In addition, the program's overall performance will be assessed through independent evaluation at the midpoint of the Global Results Framework at the direction of the CEPF Donor Council.

## CEPF 5-Year Global Results Framework

Objective	Targets	Use of Information
<p>Strengthen the involvement and effectiveness of civil society in conservation and management of globally important biodiversity.</p>	<ul style="list-style-type: none"> <li>▪ At least 14 critical ecosystems/hotspots with active investment programs involving civil society in conservation.</li> <li>▪ At least 600 civil society actors, including NGOs and the private sector, actively participate in conservation programs guided by the CEPF ecosystem profiles.</li> <li>▪ 20 million hectares of key biodiversity areas with strengthened protection and management<sup>1</sup> including at least 8 million hectares of new protected areas<sup>2</sup>.</li> <li>▪ 1 million hectares in production landscapes managed for biodiversity conservation or sustainable use.</li> </ul>	<p>YR 1-4: Gauge CEPF's global performance in achieving coverage targets and key milestones against ecosystem profile targets.</p> <p>YR3: Contribute to independent mid-term assessment and adjust overall strategy and operations as recommended.</p> <p>All years: Identification and pursuit of opportunities for long-term sustainability and replication.</p> <p>All years: Results feed into global outreach program, program evaluation.</p>
Intermediate Outcomes	Intermediate Targets	Use of Information
<p><b>Outcome 1:</b> Globally significant biodiversity is under improved management and protection.</p>	<ul style="list-style-type: none"> <li>• At least 70% of targeted key biodiversity areas with strengthened protection and management.</li> <li>• At least 30% of projects globally enable effective stewardship of biodiversity and ecosystem services by indigenous and local communities in focal areas.</li> <li>• At least 10 sustainable financing mechanisms established or strengthened with initial capital secured.</li> <li>• At least 5 multi-regional projects contribute to the conservation of globally significant biodiversity.</li> </ul>	<p>Profile Midterm: Gauge portfolio performance against targets and milestones identified in ecosystem profile. Refine Results Framework or profiles as needed. (Start-up in hotspots will be staggered.)</p> <p>Framework<sup>3</sup> Midterm: Assessment of contribution to GEF and CBD 2010 targets based on GEF tracking tools for protected areas and mainstreaming.</p> <p>All years: Identification and pursuit of opportunities for long-term sustainability and replication.</p> <p>All years: Results feed into global</p>

<sup>1</sup> Guided by a sustainable management plan

<sup>2</sup> Protected through a formal legal declaration or community agreement

<sup>3</sup> This Results Framework is extracted from the CEPF Strategic Framework, FY 2008-2012 approved by the CEPF Donor Council in July 2007 (Annex 1).

		<p>outreach program.</p> <p>End of Framework: Assessment of overall program achievement and contribution to CBD programs.</p>
<p><b>Outcome 2:</b> Biodiversity conservation is integrated into landscape and development planning as a result of increased local and national civil society capacity.</p>	<ul style="list-style-type: none"> <li>• 60% of projects outside protected areas integrate biodiversity conservation in management practices.</li> <li>• At least 10 public-private partnerships mainstream biodiversity in the forestry, agriculture, and tourism sectors.</li> <li>• At least 50% of global grant funds allocated to local civil society groups<sup>4</sup>.</li> <li>• At least 70% of targeted communities involved in sustainable use projects show socioeconomic benefits.</li> </ul>	<p>Profile Midterm: With each hotspot, gauge portfolio-level performance against targets and key milestones identified in ecosystem profile. Refine Results Framework or ecosystem profiles as needed. (Start-up in each hotspot will be staggered.)</p> <p>Framework Midterm: Assessment of contribution to GEF and CBD 2010 targets based on GEF tracking tool for mainstreaming.</p> <p>All years: Identification and pursuit of opportunities for long-term sustainability and replication.</p> <p>All years: Results feed into global outreach program.</p> <p>End of Framework: Assessment of overall program achievement and contribution to CBD work programs.</p>
<p><b>Outcome 3:</b> Effective monitoring and knowledge sharing.</p>	<ul style="list-style-type: none"> <li>• 100% of CEPF regions possess baseline data and indicators and monitor and report against approved logical frameworks.</li> <li>• Select targets from global Results Framework standardized for all hotspots and contribute to global reporting and assessment.</li> <li>• At least 75% of civil society groups receiving grants effectively plan and manage conservation projects.</li> <li>• 2 learning exchanges and</li> </ul>	<p>All years: Portfolio reviews feed into strategy decisions.</p> <p>Midterm and end of Framework: Calibrate against other biodiversity status reports produced for the hotspot e.g. forest status, Important Bird Areas, etc.</p> <p>All years: Identifying best practice and lessons learned for dissemination and uptake.</p> <p>All years: Results feed into global outreach program.</p> <p>Framework Midterm: Assess</p>

<sup>4</sup> CEPF defines a local civil society group as one that is legally registered in a country within the hotspot and has an independent board of directors or a similar type of independent governing structure.

	<p>participatory assessments of portfolio-level results hosted and documented within each new hotspot for investment.</p>	<p>progress and examples of replication.</p>
<p><b>Outcome 4:</b> Ecosystem profiles act as shared strategies, and effective program-wide implementation and outreach.</p>	<ul style="list-style-type: none"> <li>▪ Ecosystem profiles and investment strategies developed with stakeholders and financed for all new hotspots selected for investment.</li> <li>▪ In at least five hotspots, ecosystem profiles influence other donors' investment strategies.</li> <li>• Regional Implementation Teams build capacity of local civil society groups to design and implement projects.</li> <li>▪ Overall program, including all activities and financial management, effectively monitored and in compliance with CEPF Operational Manual.</li> <li>• Program-wide replication strategy developed and implemented to disseminate best practice within and across hotspots.</li> <li>• 10 publications produced and disseminated on CEPF experiences, lessons learned, and specific themes.</li> <li>• 100% of final project reports compiled by grant recipients available online.</li> <li>• Visitors to Web site and newsletter subscribers increase by 70%.</li> <li>• 5 annual reports and 20 quarterly reports produced.</li> </ul>	<p>All years: Results feed into profile planning, implementation and adaptation.</p> <p>All years: Profiles guide decisions, and assessments of progress and results.</p> <p>All years: Results feed into global reporting to CEPF donor partners, and overall outreach program.</p> <p>Framework Midterm: Results feed into evaluation.</p>