

## **Critical Ecosystem Partnership Fund**

Fifteenth Meeting of the Donor Council  
World Bank Headquarters, Washington, DC  
9 September 2009  
9 a.m. – 11:30 a.m. EST

### **Overview of Consolidation Program**

#### **Recommended Action Item:**

The Donor Council is asked to **review** the Overview of Consolidation Program and **take note** of the ways in which the regional consolidation portfolios are linked to the program's Global Results Framework and monitoring.

#### **Background:**

In July 2007, the Donor Council approved the paper entitled "Setting Priorities for Future Investment." The paper proposed combining an emphasis on additional new regions that would receive full investment with selected existing regions that would receive shorter term and smaller amounts to consolidate conservation gains achieved with earlier CEPF support.

The approval provided for all 13 existing CEPF investment regions that will have reached the end of their five-year investment period by July 2008 to be eligible for a consolidation program to advance and sustain the conservation gains made possible by previous CEPF investment. As agreed by the Council, the regional consolidation programs draw from a total consolidation allocation of \$20 million.

The attached paper provides an overview of the Consolidation Program to date, including the development and status of regional portfolios, grants awarded and monitoring plans. All of these important elements of the Consolidation Program have been discussed and refined, as appropriate, with the Working Group. Updates also have been provided during meetings of the Donor Council, as well as in various correspondence and quarterly reporting.

## **Critical Ecosystem Partnership Fund**

### **Overview of Consolidation Program**

August 2009

The Critical Ecosystem Partnership Fund (CEPF) is implementing a major program to consolidate and sustain gains made possible by its previous investment in selected regions as agreed by the Donor Council in July 2007.

The approach is guided by the CEPF Strategic Framework (FY 2008-2012)<sup>1</sup> and the paper “Overview of Consolidation Approach,”<sup>2</sup> which provides a summary of the overarching approach for consolidation in up to 13 regions that benefited from previous CEPF investment.

Regional consolidation programs consist of a limited set of mutually reinforcing grants designed to influence natural resource management decisions for periods substantially longer than CEPF’s initial five-year investment. They emphasize enhancing the resilience and durability of the conservation gains made, and replicating and scaling up CEPF successes.

Of particular importance is that these programs are based explicitly on the existing ecosystem profiles and five-year investment assessments for each region. The conservation outcomes and improved enabling conditions achieved as a result of earlier CEPF grants are identified in an assessment for each region that has reached the end of its investment period. Those conclusions form the basis of consolidation plan and the grants awarded for this purpose.

As of August 2009, three-year consolidation programs have been designed and are being implemented in seven regions for which assessments have concluded:

- Atlantic Forest Hotspot (Brazil)
- Cape Floristic Region Hotspot
- Guinean Forests of West Africa Hotspot (Upper Guinean Forest)
- Madagascar and Indian Ocean Islands Hotspot (Madagascar)
- Mesoamerica Hotspot (Southern Mesoamerica)
- Tropical Andes Hotspot (Vilcabamba-Amboró Conservation Corridor)
- Tumbes-Chocó-Magdalena Hotspot (Chocó-Manabí Conservation Corridor)

These regional portfolios comprise \$13.3 million in targeted grants, more than \$11 million of which has been committed to date.

Detailed summaries of the consolidation plans for the first six regions were shared with the Working Group in May 2008 to encourage greater synergies and sharing of information with the donor partners. A refined plan for Madagascar was also discussed with the Working Group in April 2009, and the detailed summary for Tumbes-Chocó-Magdalena shared in May 2009. Updates on the consolidation programs also have been provided during meetings of the Working

---

<sup>1</sup> Strategic Framework (FY 2008-2012), [www.cepf.net/Documents/cepfstrategicframework\\_fy08\\_12.pdf](http://www.cepf.net/Documents/cepfstrategicframework_fy08_12.pdf) (PDF - 89 KB)

<sup>2</sup> Overview of Consolidation Approach, [www.cepf.net/Documents/CEPFConsolidationOverview\\_June2008.pdf](http://www.cepf.net/Documents/CEPFConsolidationOverview_June2008.pdf) (PDF - 22 KB)

Group and Donor Council, as well as in various correspondence and quarterly reporting to these bodies.

Consolidation plans are now in development for the Mountains of Southwest China and the Succulent Karoo in Namibia and South Africa. Needs and opportunities are also expected to be explored for at least two other regions: the Eastern Arc Mountains and Coastal Forests of Tanzania and Kenya, and the Caucasus.

For each regional consolidation plan developed to date, the Secretariat has identified investment priorities and outcomes. Beginning with the two most recent consolidation plans developed for Madagascar and Tumbes-Chocó-Magdalena, each plan also sets the targets and related indicators for the portfolio of grants in a logical framework.

As CEPF does for all new investment regions, selected targets from the program's Global Results Framework and related indicators are integrated. This is important to create a strong link between a regional consolidation plan and the program-wide strategy. The global targets and related indicators are quantified for the specific regional portfolio to enable monitoring and reporting. This approach is also now being undertaken for all consolidation programs currently in implementation and is explained more fully in Annex I. The Annex also provides detail on how core elements of the strengthened monitoring approach designed for all new investment programs will be applied to these targeted portfolios.

Annex II provides the status of the individual grants designed to meet the investment priorities in each regional consolidation portfolio in implementation as of August 2009.

## Annex I.

### Consolidation Portfolios: Targets & Monitoring

May 2009

#### **Background:**

The Critical Ecosystem Partnership Fund (CEPF) is implementing a major program to consolidate and sustain gains made possible by its previous investment in selected regions as agreed by the Donor Council in July 2007.

The approach is guided by the CEPF Strategic Framework (FY 2008-2012) and the paper “Overview of Consolidation Approach”<sup>1</sup>, which provides a summary of the overarching approach for consolidation in up to 13 regions that benefited from previous CEPF investment.

For each consolidation plan developed to date, the CEPF Secretariat has identified investment priorities and outcomes. This paper sets out how the Secretariat is developing logical frameworks for the consolidation portfolios and monitoring implementation. A logical framework will be included from the outset in all future consolidation plans. Logical frameworks also will be created for all consolidation programs currently in implementation.

A draft of this paper was discussed with the CEPF Working Group in April 2009.

#### **Targets and Indicators:**

The consolidation plan developed for each region will set the targets and related indicators for the portfolio of grants. As CEPF does for all new investment regions, selected targets from the program’s Global Results Framework and related indicators will be integrated as appropriate (Table 1), creating an important link between the consolidation plan developed for a given region and that of the program-wide strategy. The targets selected and related indicators will be quantified to reflect the targets of the specific consolidation plan and enable monitoring and reporting.

**Table 1. Selected Targets from Global Results Framework and Related Indicators that May Be Chosen as Appropriate for Consolidation Portfolios**

Targets	Indicator
<b>Objective: Strengthening the involvement and effectiveness of civil society in conservation and management of globally important biodiversity.</b>	
20 million hectares of key biodiversity areas with strengthened protection and management <sup>2</sup> , including at least 8 million hectares of new protected areas <sup>3</sup> .	Number of hectares located in key biodiversity areas with strengthened protection and management.
	Number of hectares brought under new or upgraded protection.

<sup>1</sup> Overview of Consolidation Approach, [www.cepf.net/Documents/CEPFConsolidationOverview\\_June2008.pdf](http://www.cepf.net/Documents/CEPFConsolidationOverview_June2008.pdf) (PDF - 22 KB)

<sup>2</sup> Guided by a sustainable management plan

<sup>3</sup> Protected through a formal legal declaration or community agreement

1 million hectares in production landscapes managed for biodiversity conservation or sustainable use.	Number of hectares in production landscapes with improved management for biodiversity conservation
<b>Intermediate Outcome 1 - Globally significant biodiversity is under improved management and protection.</b>	
At least 70% of targeted key biodiversity areas with strengthened protection and management.	Percent and number of key biodiversity areas that demonstrate improvements in their protection and management
At least 30% of projects globally enable effective stewardship of biodiversity and ecosystem services by indigenous and local communities in focal areas.	Percent of grants that enable effective stewardship by indigenous and local communities for biodiversity and ecosystem conservation.
<b>Intermediate Outcome 2 - Biodiversity conservation is integrated into landscape and development planning as a result of increased local and national civil society capacity.</b>	
60% of projects outside protected areas integrate biodiversity conservation in management practices.	Percent of projects located outside protected areas that integrate biodiversity conservation in management practices.
At least 10 public-private partnerships mainstream biodiversity in the forestry, agriculture and tourism sectors.	Number of public-private partnerships that mainstream biodiversity in the forestry, agriculture and tourism sectors.
At least 70% of targeted communities involved in sustainable use projects show socioeconomic benefits.	Percent of targeted communities involved in sustainable use projects that demonstrate tangible socioeconomic benefits.

The logical frameworks will also include portfolio-level targets and indicators toward achievement of the unique outcomes pursued under the consolidation strategy for a particular region.

**Monitoring:**

Core elements of the strengthened monitoring approach designed for all new investment programs will be applied to these targeted portfolios. In addition, as the consolidation portfolios are specifically designed to enhance the resilience and durability of conservation gains made as a result of earlier CEPF grants, monitoring protocols have been tailored to gauge progress against this specific objective.

Consolidation portfolios must fulfill the following requirements for monitoring:

- i. **GEF Tracking Tools.** Where applicable, grantees will complete the METT 1: Catalyzing Sustainability of Protected Area Systems, and METT 2: Mainstreaming Biodiversity Conservation in Production Landscapes/Seascapes and Sectors. These tools will be used in Year 1 of the investment cycle and at the close of the portfolio.
- ii. **Site visits.** Each consolidation portfolio over its three-year duration will be subject to at least two site visits. The purpose of these visits is to ensure compliance with CEPF protocols and procedures, and to assess grant-level performance and adherence to program goals. The visit should include meetings with all grantees in the portfolio, and field visits where appropriate.

- iii. **Consolidation Monitoring Plan.** Each consolidation region is required to prepare a consolidation monitoring plan to aid in data collection of performance indicators. The monitoring plan represents an expansion of the logical framework that will be part of the regional consolidation strategy. The Grant Director is responsible for developing the monitoring plan to include the:
- Selected CEPF program targets and their standard definitions that are relevant to the particular outcomes being pursued by the consolidation portfolio.
  - Additional indicators as appropriate to monitor performance toward achievement of the unique outcomes pursued under the consolidation strategy for a particular region.
  - Definitions and timeline for data collection (Table 2).
- iv. **Annual Progress Report on the Consolidation Strategy.** Based on the submission of each grantee’s regular performance reporting and on potential additional outreach by CEPF, the Grant Director and regional coordinator will compile and consolidate performance data for assessment.
- v. **Annual Portfolio Overview.** The Grant Director will prepare a concise overview of portfolio-level performance, examining strategic and operational issues that include details of interim progress toward the consolidation targets and lessons learned. As part of the annual overview, progress toward achieving the targets in the consolidation plan for the respective portfolio will be reported on. At the close of the consolidation investment, a final report will be prepared in place of the annual overview.

**Table 2. Illustrative Timeline of Consolidation Portfolio Monitoring**

Activity	Lead Responsibility	Year 1				Year 2				Year 3			
		I	II	III	IV	I	II	III	IV	I	II	III	IV
Consolidation Monitoring Plan	Grant Director	*	*										
GEF Tracking Tools - Baseline data collection	Grantees		*	*	*								
Site Visits	Grant Director				*						*		
Annual Progress Assessment of the Consolidation Strategy	Grant Director and grantees			*				*				*	
Annual Portfolio Overview	Grant Director			*				*					*
GEF Tracking Tools – Final data collection	Grantees											*	

**Annex II.**

**Status of Individual Grants in the Global Consolidation Program**

As of August 2009

<b>Project</b>	<b>Grant Applicant</b>	<b>Grant Amount</b>	<b>Status</b>
<b>Atlantic Forest - \$2,400,000</b>			
Institutional Strengthening in the Serra do Mar Corridor	Associação Mico-Leão-Dourado	\$550,000	Approved
Conservation Network: Linking Social and Environmental Experiences, and Developing Competencies and Organizational Capacities in the Atlantic Forest Central Biodiversity Corridor	Instituto de Estudos SocioAmbientais do Sul da Bahia	\$250,000	Approved
Consolidating Private and Public Protected Areas in the Atlantic Forest Hotspot Biodiversity Corridors	Fundação SOS Pró-Mata Atlântica	\$934,457	Approved
Consolidating Biodiversity Conservation Action in Atlantic Forest Corridors	Conservação Internacional do Brasil	\$665,543	Approved (No-objection approval by the CEPF WG Sept. 26, 2008)
<b>Cape Floristic Region - \$1,649,705</b>			
Sustaining the Gains of the Business Plan of the Cape West Coast Biosphere Reserve	Cape West Coast Biosphere Company	\$150,000	Approved
Consolidation of CAPE Conservation Gains: Engaging Agricultural Industries in South Africa	Conservation International	\$193,054	Approved (No-objection approval by the CEPF WG July 24, 2008)
Support the Sharing of Lessons Learned Across and Beyond Corridors within the Cape Floristic Region	South African National Biodiversity Institute	\$150,000	Approved
Cape Floristic Region: Communicating Conservation	Wilderness Foundation	\$150,000	Approved
Consolidation of Conservation Gains: Engaging the Business Sector in South Africa	World Wide Fund for Nature-South Africa	\$106,651	Approved

Baviaanskloof Mega-reserve Consolidation Project	Wilderness Foundation	\$110,000	Approved
Consolidate and Strengthen Cape Nature's Corridor Network	Western Cape Nature Conservation Board	\$324,000	Approved
Improving Project Development and Implementation through Support to New Entrants to Conservation and Community-Based Organizations in the Cape Floristic Region	Table Mountain Fund	\$166,000	Approved
Building Capacity in Conservation Implementing Agencies in the Cape Floristic Region	Table Mountain Fund	\$300,000	Approved
<b>Guinean Forests of West Africa - \$2,099,354</b>			
<b>Project</b>	<b>Grant Applicant</b>	<b>Grant Amount</b>	<b>Status</b>
Sustaining and Securing Capacity in Biodiversity Conservation Action in the Upper Guinea Forest Area	BirdLife International	\$599,984	Approved
Sustainable Finance for Protected Areas in Sierra Leone	Royal Society for the Protection of Birds	\$200,000	Approved
Ensuring Long-term Suitable Financing For Key Protected Areas in the Upper Guinean Forest Ecosystem	Conservation International	\$799,930	Approved (No-objection approval by the CEPF WG Nov. 24, 2008)
Upscaling and Promoting Biodiversity Conservation and Community Livelihoods in the Upper Guinea Forest Ecosystem	Environmental Foundation for Africa	\$250,000	Approved
Consolidating and Learning from Livelihood Interventions In Support Of Biodiversity Conservation and Management at Nimba Mountain (Guinea), Sapo National Park (Liberia) and Tiwai Island (Sierra Leone)	Fauna & Flora International	\$249,440	Approved
<b>Madagascar and Indian Ocean Islands - \$1,403,620</b>			
Consolidating the Node Small Grants Program to Engage Local Actors in Biodiversity Conservation in Madagascar	Conservation International	\$749,837	Approved (No-objection approval by the CEPF WG July 30, 2009)
Education for Conservation	NGO Arboretum d'Antsokay	\$110,000	Approved

Strengthening Local Community-Based Resource Management for Tambohorano and Bealanana	The Peregrine Fund, Inc.	\$150,000	Approved
Support to the Malagasy International Association Vahatra	Field Museum of Natural History	\$214,391	Approved
Establishing Comprehensive Baselines, Disseminating Efficient Participatory Management Tools And Stimulating Partners Commitment to the Extension Of Managed Resources Protected Areas In Madagascar	Association Fanamby	\$179,392	Approved
<b>Southern Mesoamerica - \$1,628,381</b>			
<b>Project</b>	<b>Grant Applicant</b>	<b>Grant Amount</b>	<b>Status</b>
Consolidating Local Conservation Capacity in the Biosphere Reserves of Southeast Nicaragua and La Amistad in Panama	Conservation International	\$303,381	Approved (No-objection approval by the CEPF WG Nov. 24, 2008)
Consolidating the Financial, Institutional and Social Sustainability of the La Amistad Biosphere Reserve, With a Focus on the Indigenous Territories of the Caribbean	Asociación de Profesionales y Técnicos Ngobes de Bocas del Toro	\$250,000	Approved
Consolidating Key Management Actions in Indio Maiz Biological Reserve, Nicaragua	Fundación Amigos del Río San Juan	\$100,000	Approved
Consolidating Key Management Actions in Indio Maiz Biological Reserve, Nicaragua – Phase II	Fundación Amigos del Río San Juan	\$300,000	Submission of Letter of Inquiry pending
Consolidating Civil Society Participation in the Conservation of the La Amistad Biosphere Reserve	Fundación Para el Desarrollo Integral, Comunitario y Conservación de los Ecosistemas en Panamá	\$375,000	Approved
Consolidation of the Conservation Actions and Management of Biodiversity in the Cerro Silva and Punta Gorda Reserves	Universidad de las Regiones Autónomas de la Costa Caribe Nicaragüense	\$300,000	Approved

<b>Tropical Andes - \$2,185,000</b>			
<b>Project</b>	<b>Grant Applicant</b>	<b>Grant Amount</b>	<b>Status</b>
Fostering Stakeholder Collaboration and Monitoring the Impacts and the Conservation Actions around Infrastructure Projects in the Vilcabamba-Amboró Conservation Corridor	Conservation International	\$250,000	Approved (No-objection approval by the CEPF WG Dec. 22, 2008)
Strengthening Connectivity Along Peru's Inter-Oceanic Highway in Madre de Dios through the Sustainable Use of Natural Resources and Economic Development	Fondo de las Americas del Peru	\$235,000	Approved
Strengthening of the Management and Financial Sustainability of Key Protected Areas along the Southern Inter-Oceanic Highway in Madre de Dios, Peru	Fondo de las Americas del Peru	\$465,000	Approved
Promoting Civil Society Participation and Field-Level Monitoring to Mitigate the Environmental and Social Impacts of the Peruvian Inter-Oceanic Highway in the Vilcabamba-Amboró Conservation Corridor	Fundación Peruana para la Conservación de la Naturaleza	\$330,000	Approved
Impact Mitigation for Biodiversity Conservation in High Risk Areas of the Northern Corridor Highway in Bolivia	Fundación Protección y Uso Sostenible del Medio Ambiente	\$250,000	Approved
Mitigating the Potential Environmental and Social Impacts Generated by the Northern Corridor Road Construction Project in Bolivia	Fundacion para el Desarrollo del Sistema Nacional de Areas Protegidas	\$655,000	Approved
<b>Tumbes-Chocó-Magdalena \$1,950,000</b>			
<b>Project</b>	<b>Grant Applicant</b>	<b>Grant Amount</b>	<b>Status</b>
Consolidation of a Connectivity Strategy in the Tatamá-Serranía de los Paraguas Conservation Corridor through a Network of Community Leaders	Corporación Serraniagua	\$200,000	Proposal

Strengthening and Territorial and Cultural Consolidation of the Ecuadorian Awá Territory	Federación de Centros Awá del Ecuador	\$280,000	Letter of Inquiry
Consolidation of a Compensation for Environmental Services Model for the Conservation of Micro-Watersheds in the Indigenous Reservation of the Nasa-Paez	Fundación Centro para la Investigación en Sistemas Sostenibles de Producción Agropecuaria	\$200,000	Letter of Inquiry
Strengthen Civil Society Capacities in the Northwest of Ecuador for Biodiversity Conservation in Ethnic Territories, Communitarian Forests and Protected Areas	Fundación para el Desarrollo de Alternativas Comunitarias de Conservación del Trópico	\$250,000	Letter of Inquiry
Consolidation of the Awacachi Biological Corridor and Protection of the Native Forests of the San Lorenzo Canton	Fundación Sirua	\$200,000	Proposal
Implementation of the Socio-Environmental Monitoring System for the Chocó-Manabí Conservation Corridor	Union Temporal Centro de Investigaciones y Estudios en Biodiversidad y Recursos Genéticos	\$120,000	Proposal
Strengthening Development Policy and Local Planning for Biodiversity Conservation and Poverty Alleviation in the Bi-National Sub-Corridor of the Tumbes-Chocó-Magdalena Hotspot	Conservation International	\$350,000	Letter of Inquiry submission pending
Consolidation for Financial and Social Sustainability of Cotacachi – Cayapas and Cayapas Mataje Ecological Reserves	Fondo Ambiental Nacional	\$350,000	Letter of Inquiry