

Conservation International
Additional Financing for the Critical
Ecosystem Partnership Fund – Caribbean
Hotspot Project – P173464

ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)

Negotiated
May 16, 2025

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Conservation International (CI or Recipient) is implementing the Critical Ecosystem Partnership Fund – Caribbean Hotspot Project (the Project), with the involvement of the Caribbean Natural Resources Institute (CANARI) and Instituto Tecnológico de Santo Domingo (INTEC), as set out in the Original and Additional Financing Grant Agreement (the Agreements). The International Bank for Reconstruction and Development (the Bank) acting as the administrator of the Critical Ecosystem Partnership Fund, has agreed to provide the original financing and additional financing for the Project, as set out in the Agreements. This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for the Project referred to above.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreements, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. As agreed by the Bank and the Recipient, this ESCP will be revised from time to time, if necessary, to reflect adaptive management of Project changes or unforeseen circumstances or in response to Project performance. In such circumstances, the Bank and the Recipient agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Recipient's Representative specified in the Agreements. The Recipient shall promptly disclose the updated ESCP.
5. The subsection on "Indicators for Implementation Readiness" below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the "Timeframe" column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
IMPLEMENTATION ARRANGEMENTS AND CAPACITY SUPPORT			
A	ORGANIZATIONAL STRUCTURE Maintain an organizational structure with staff to support E&S risk management, in adequate numbers and with experience acceptable to the Bank, to ensure compliance with the ESSs requirements, this ESCP and relevant instruments by sub-grantees. Enforce its staff Code of Ethics.	Throughout Project implementation.	CI
B	CAPACITY BUILDING PLAN/MEASURES Deliver training to subgrantees (NGOs and community-based organizations) on: <ul style="list-style-type: none"> Stakeholder mapping and engagement. Specific aspects of environmental and social assessment. Occupational health and safety, including emergency prevention and preparedness and response arrangements to emergency situations. Gender mainstreaming. Community health and safety. 	Throughout Project implementation.	CI in coordination with CANARI, and INTEC
MONITORING AND REPORTING			
C	REGULAR REPORTING Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, and implementation of the Environmental and Social Management Framework (ESMF), Environmental and Social Management Plans (ESMPs), Labor Management Procedure (LMP), Process Framework (PF), Stakeholder Engagement Plan (SEP), as well as the measures adopted to prevent the spread of COVID-19.	Every six-months throughout Project implementation. Reports to be sent within the first 7 business days after the end of each reporting period.	CI
E	INCIDENTS AND ACCIDENTS Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including without limitation any Project-related fatalities, serious accidents, significant pollution, community unrest caused by the Project or allegations of gender-based violence. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Within 72 hours of CI having knowledge of occurrence of any incident/accident. A subsequent report will be provided to the Bank upon request, within an acceptable timeframe to the Bank.	CI
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ENVIRONMENTAL AND SOCIAL ASSESSMENTS AND/OR PLANS 1. Implement the Project in accordance with the ESMF that has been prepared and disclosed for the Project, in a manner acceptable to the Bank. This includes screening, assessing, managing and	1. Throughout Project implementation.	CI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>monitoring any proposed subproject or activity in accordance with the ESMF. Any proposed subproject or activity that falls within the negative list of the ESMF shall not be eligible for financing.</p> <p>2. Cause subgrantees to develop, consult, disclose, adopt and implement Environmental and Social Impact Assessments (ESIAs), ESMPs, Pest Management Plans, Health and Safety Plans, Cultural Heritage Plans and measures contained in the PF, where applicable, to address potential E&S risks and impacts of individual sub-projects or other activities, as required under the ESMF.</p>	2. Prepare E&S instruments (i.e. ESIAs, ESMPs, Pest Management Plans, Health and Safety Plans, Cultural Heritage Plans) prior to implementation of individual sub-projects, and, thereafter, implement them throughout sub-project implementation.	
1.2	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, [specify relevant E&S assessments or plans], the Labor Management Procedures, and code of conduct, into the E&S specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and that they require their subcontractors to comply with the E&S specifications of their respective contracts. Provide copies of the relevant contracts with contractors/subcontractors and supervision firms to the Bank.</p>	As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation. Copies of relevant contracts provided to the Bank upon request.	CI
1.3	<p>PERMIT, CONSENTS AND AUTHORIZATIONS</p> <p>Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities. Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	As applicable, to be obtained prior to initiating activities that require permits, consents and authorizations.	CI
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>1. Implement the LMP that has been developed for the Project.</p> <p>2. Cause sub-grantees to develop, adopt and implement their own sub-grantee Labor Management Procedures, where necessary, in accordance with the Project's LMP.</p>	<p>1. Throughout Project implementation.</p> <p>2. Develop prior to implementation of individual sub-projects, and, thereafter, implement throughout sub-project implementation.</p>	CI
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2. This includes promoting the use of virtual and confidential mechanisms that allow for the recording of the processing and resolution of complaints. The processes and summary results will be reported in the biannual reports to the Bank.</p>	Throughout Project implementation	CI
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY MANAGEMENT PLAN</p> <p>Prepare, adopt and implement OHS measures and specify these measures in the ESMPs, in accordance with the ESMF.</p>	Same timeframe as for the implementation of the ESMPs, under action 1.1.	CI
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
3.1	INTEGRATED PEST MANAGEMENT (IPM) Prepare as standalone documents, or include in the ESMPs, based on the procedures and template outlined in the ESMF, Pest Management Plans that maximize the use of IPM techniques.	Prepare prior to start of implementation of individual sub-projects, and implement thereafter.	CI
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	COMMUNITY HEALTH AND SAFETY Prepare, adopt, and implement measures to assess and manage specific risks and impacts to the community arising from Project activities, and incorporate these measures in the respective E&S instruments referred to in action 1.1 above (including the Health and Safety Plans to be prepared by sub-grantees), in accordance with the ESMF, including, inter alia: <ul style="list-style-type: none"> • Behavior of Project workers, risks of labor influx, response to emergency situations. • Following pest management requirements as outlined in the ESMF, including trainings for beneficiaries on minimizing pesticide use as well as appropriate application and management of fertilizers and pesticides, to minimize risks to community health and safety. • Measures to ensure that security personnel (park guards, community rangers, etc.) involved in the Project act consistently with the requirements of ESS4, as specified in the ESMF. 	Identify Subproject (sub-grantee) - specific measures during subproject screening and assessment and reflect them in the E&S instruments prior to initiating activities for corresponding subproject, in accordance with the ESMF. Implement and monitor measures throughout Project implementation.	CI
4.2	SEA AND SH RISKS (a) Identify specific measures to prevent and respond to sexual exploitation, abuse and harassment risks, as set forth in the ESMF, and reflect them in the E&S instruments referred to in Action 1.2 above, in accordance with the ESMF. (b) Implement specific measures to prevent and respond to sexual exploitation, abuse and harassment risks, as set forth in the ESMF.	(a) Prior to initiating activities for applicable subprojects, in accordance with the ESMF. (b) Implement and monitor the measures throughout Project implementation.	CI
4.3	TRAINING FOR THE COMMUNITY Conduct training for the community designed to heighten awareness of risks and to mitigate impacts specified in this section, including: <ul style="list-style-type: none"> • Trainings for workers and other community members about the types, characteristics and health impacts and consequences of all potential hazardous products they could use during Project implementation. 	Prior to initiating activities for corresponding subprojects.	CI
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	PROCESS FRAMEWORK (PF): Implement or cause to implement the PF in accordance with ESS5.	Implement disclosed PF throughout Project implementation	CI
5.2	GRIEVANCE MECHANISM Prepare, adopt and implement or cause to implement the arrangements for the grievance mechanism to address “restrictions in access” related complaints as described in the PF.	Same timeframe as action 5.1 above	CI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS Prepare and implement site-specific ESIA's and ESMFs, based on procedures in the ESMF, to manage the potential risks and impacts to biodiversity.	Prepared prior to implementation of sub-projects and thereafter implement throughout sub-project implementation.	CI
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant to the Project			
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS Prepare, adopt, and implement the chance find procedure described in the Project ESMF and Cultural Heritage Plans developed for the sub-projects, in accordance with the ESMF.	Incorporate into the E&S instruments to be prepared under Action 1.2 above, as required under the ESMF.	CI
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant to the Project			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN 1. Implement the SEP in a manner acceptable to the Bank, to establish measures to allow for a continuous engagement and adequate communication strategies with all relevant stakeholders throughout Project implementation. 2. Cause subgrantees to develop, disclose, consult, adopt and implement their own sub-grantee Stakeholder Engagement Plans, in relevant local languages and where necessary, in accordance with the Project's SEP. 3. Provide Sub-grantees with a template for the sub-project-level SEP, together with examples.	1. Implement the updated and disclosed SEP throughout Project implementation. 2. Develop prior to implementation of individual sub-projects, and thereafter implement throughout sub-project implementation. 3. Prior to implementation of individual sub-projects.	CI
10.2	PROJECT GRIEVANCE MECHANISM Maintain and operate a grievance mechanism, as described in the SEP.	Maintain throughout Project implementation.	CI
INDICATORS FOR IMPLEMENTATION READINESS			
The following actions are indicators for implementation readiness: A. Organizational Structure 1.1. Environmental and Social Framework 10.1 Stakeholder Engagement Plan 10.2 Project Grievance Mechanism			